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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
08/722,045	10/04/1996	VIRGINIA FREEMAN	P26,487-A USA	3646

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EXAMINER

EBRAHIM, NABILA G

ART UNIT	PAPER NUMBER
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1618

DATE MAILED: 09/22/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No. 08/722,045	Applicant(s) FREEMAN ET AL.	
	Examiner Nabila G. Ebrahim	Art Unit 1618	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 7/5/06.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1, 6, 13, 23 and 25-30 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1, 6, 13, 23 and 25-30 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date: _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date: _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

The receipt of remarks filed 7/5/06 is acknowledged.

STATUS OF CLAIMS:

Claims 1, 6, 13, 23, and 25-30 are pending in the application.

Receipt of claim amendment dated 7/5/06 is acknowledged.

STATUS OF THE OFFICE-ACTION: Final

Claim Rejections - 35 USC § 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1, 6, 13, 23, 25-30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sparks et al. US 5354556 (Sparks).

Sparks teaches a controlled release formulation comprising microparticles wherein the particle size average diameter is 0.1 microns or greater (100 nanometer), (see abstract). The controlled release powder includes the same drugs nifedipine (col. 4, line 60), morphine (col. 5, line 3), and biodegradable polylactides (col. 4, line 4). The composition is provided as effervescent tablets (col. 7, line 52). Sparks comprised various drug groups in his invention. For example, he included diltiazem, nifedipine (claim 3), verapamil (col. 4, line 59), morphine, codeine sulfate, dihydrocodeine tartrate, oxycodone, buprenorphine (col. 5, lines 1-5), and captopril (col. 4, line 64).

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Though Sparks discloses the microparticles, he did not disclose a microcapsule, however, the specification of the current application defines the term microcapsule as being used to include the terms "microsphere", "microparticles", "nanosphere" and "nanoparticle", and adds that these terms do not necessarily refer to any structural relationship between the drug and the encapsulating polymer in a matrix (structure). Rather, these terms simply refer to a particle (micron sized or less) in which the drug is entrapped in a polymer (Specification, page 3, lines 3-9).

In addition, the reference does not disclose the D50 percentage of the 100 and 900 nanometers or the adjusted pH. Using microparticles within the range of D 50 recited by the claims of the instant application with a reasonable expectation of success would have been obvious to one of ordinary skill in the art to advance the uniformity in dissolution and absorption rates since particles of the same or similar size and configuration are known to provide the best release and absorption profiles. In addition, Sparks stated that the particle size might be controlled in a number of ways. For example, the particles size may be controlled by the rate of mixing, the viscosity during manufacturing, the active ingredient particle size or volatility of the solvent (col. 7, lines 20-25). Since the composition is a controlled-release, it is expected that a skilled artisan would be able to adjust the pH of the formulation.

New instant claim 30 is rejected as Sparks disclosed that the micro-particles having an average size of from 0.1 to 125 μm , this particle size encompasses the range disclosed in claim 30 of 200-400 nm.

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because sparks' teachings included particles in the same size (100 nanometer), the same drugs (diltiazem, nefidipine, verapamil, morphine, codeine sulphate, dihydrocodeine tritarate, oxycodone, buprenorphine, and captopril) and the same polymer (the polylactide), it would have been obvious to a skilled artisan to expand these teaching of Sparks and use a D50% in the recited range to advance the homogeneity of the composition, its dissolution and absorption rates since particles of the same or similar size and configuration are known to provide the best dissolution and release- profile. In addition the artisan will be motivated by the disclosure of Sparks that particles size might be controlled in a number of ways like controlling the rate of mixing, the viscosity during manufacturing, the active ingredient particle size or volatility of the solvent (col. 7, lines 20-25).

Response to Arguments

3. Applicant's arguments filed 7/5/06 have been fully considered but they are not persuasive. Applicant argues that:

The present claims require the use of microparticles in the range of 100-900nm or 200nm to 400nm (claim 30) which is a much narrower range that the range of the Sparks patent.

As noted in the non-final office-action, Sparks discloses particle size of 0.1 microns or greater (100 nm). The range is 100nm-225 microns, the range encompasses the range disclosed by the Applicant.

Applicant contends that:

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The microparticles of Example 1 of Sparks have a particle size range of 10 micron to 180 micron or 10,000nm to 180,000nm. This does not suggest the making of a microparticle based effervescent composition having a range of sizes of 100-900nm.

To respond: The reference is not limited to the example, it is noted that the claimed invention as a whole must be considered. In determining the differences between the prior art and the claims, the question under 35 U.S.C. 103 is not whether the differences themselves would have been obvious, but whether the claimed invention as a whole would have been obvious. *Stratoflex, Inc. v. Aeroquip Corp.*, 713 F.2d 1530, 218 USPQ 871 (Fed. Cir. 1983); *Schenck v. Nortron Corp.*, 713 F.2d 782, 218 USPQ 698 (Fed. Cir. 1983), See M.P.E.P. 2141.02 [R-3].

Applicant also argues that: There are no examples of an actual effervescent composition in Sparks. The only mention of an effervescent composition is an effervescent tablet, which contains no information as to how the effervescent tablet should be formulated. Claims 1 and 23 both point out that the claimed formulation is adapted to disperse in water to form an effervescent drink. There is no mention in Sparks of forming an effervescent drink. In col. 7, line 56-59, the resistance of the microparticles to chewing action is noted which suggests that all of the tablets are to be placed in the mouth. This observation is confirmed by the text of Sparks at col. 8, lines 29-32 where Sparks notes that the microparticulate nature of the Sparks formulation provides a good mouth feel for chewable and effervescent tablets due to the absence of a granular sensation.

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It has been already noted that the reference is not limited to the examples. In addition, Sparks disclosed effervescent tablets (col. 7, line 52); it is known in the art that this dosage form is added to water to form a drink. The chewing action disclosed Sparks is related to the chewing tablets disclosed in the reference. Effervescent tablets are known in the art to be turned into a drink and not to prepared for chewing (col. 7, lines 52, and 53).

Conclusion

4. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nabila G. Ebrahim whose telephone number is 571-272-8151. The examiner can normally be reached on 8:00AM-5:00PM.

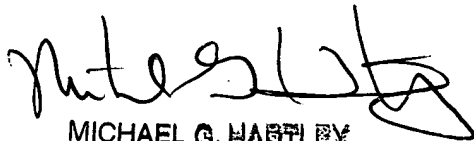
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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Hartley can be reached on 571-272-0616. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Nabila Ebrahim

9/14/06


MICHAEL G. HARTLEY
SUPERVISORY PATENT EXAMINER